

## POLICY 2.14 - Disclosure of Information on Western Investments

<b>Policy Category:</b>	Financial
<b>Subject:</b>	Disclosure of Information on Western Investments
<b>Approving Authority:</b>	Board of Governors
<b>Responsible Officer:</b>	Vice-President (Operations & Finance)
<b>Responsible Office:</b>	Financial Services
<b>Related Procedures:</b>	<a href="#">Procedures related to the Disclosure of Information on Western Investments</a>
<b>Related University Policies:</b>	N/A
<b>Effective Date:</b>	June 25, 2020
<b>Supersedes:</b>	October 21, 1977

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### I. PURPOSE

The purpose of the policy is to define the responsibilities and accountabilities associated with the disclosure of information on Western investments.

### II. DEFINITIONS

*Disclosure requests:* Requests that come from members of the University community or from recognized organizations in the University community which are not frivolous or made in bad faith. Members of the university community include students, faculty, staff, donors and alumni.

### III. POLICY

- 1.0 Investment staff are authorized to respond to *disclosure requests* to the extent of advising that the University has, or does not have, an investment in a particular company or of providing a list of the companies in which the University has an investment.
- 2.0 All other requests will be referred to the Senior Policy and Operations Committee of the Board of Governors with the recommendations of the President and Vice-President (Operations & Finance).
- 3.0 This policy is not intended to restrict the interchange of information with investment dealers, bankers, etc. in the normal conduct of the investment management function.