

## Lecture 007 (April 13, 2011)

### 16 Abelian category localization

Suppose that  $\mathbf{A}$  is a small abelian category, and let  $\mathbf{B}$  be a full subcategory such that in every exact sequence

$$0 \rightarrow a' \rightarrow a \rightarrow a'' \rightarrow 0$$

in  $\mathbf{A}$ ,  $a$  is an object of  $\mathbf{B}$  if and only if  $a'$  and  $a''$  are objects of  $\mathbf{B}$ . Such a subcategory  $\mathbf{B}$  is said to be thick (or dense, or a Serre subcategory). Observe that  $\mathbf{B}$  is closed under taking subobjects, quotients and finite direct sums.

It is common to write  $\Sigma$  for the set of morphisms of  $\mathbf{A}$  with kernel and cokernel in  $\mathbf{B}$ . Then the quotient category

$$\mathbf{A}/\mathbf{B} = \mathbf{A}(\Sigma^{-1})$$

is constructed by formally inverting the morphisms of  $\Sigma$ . The category  $\mathbf{A}/\mathbf{B}$  is constructed from a calculus of fractions: it has the same objects as  $\mathbf{A}$ , and a morphism  $[s, f] : a \rightarrow b$  of  $\mathbf{A}/\mathbf{B}$  is an equivalence class of maps

$$a \xleftarrow{s} c \xrightarrow{f} b,$$

where  $s \in \Sigma$ . The equivalence relation is generated by commutative diagrams

$$\begin{array}{ccccc}
 & & c & & \\
 & s & \swarrow & f & \\
 a & & & & b \\
 & s' & \swarrow & f' & \\
 & & c' & & 
 \end{array}$$

The category  $\Sigma/b$  of morphisms  $c \rightarrow b$  in  $\Sigma$  is filtered (this is the calculus of fractions part), and there is an identification

$$\text{hom}_{\mathbf{A}/\mathbf{B}}(a, b) = \varinjlim_{c \xrightarrow{s} a \in \Sigma} \text{hom}_{\mathbf{A}}(c, b).$$

Composition of morphisms in  $\mathbf{A}/\mathbf{B}$  is defined by pullback. This works, because the set  $\Sigma$  is preserved by pullback. Note that

$$[f, s] = [1, f] \cdot [s, 1],$$

and that  $[1, s]$  is the inverse of  $[s, 1]$  in  $\mathbf{A}/\mathbf{B}$ . It follows that

$$[f, s] \cdot [1, s] = [1, f].$$

There is a canonical functor

$$\pi : \mathbf{A} \rightarrow \mathbf{A}/\mathbf{B}$$

which is the identity on objects, and sends a morphism  $f : a \rightarrow b$  to the morphism  $[1, f]$  of  $\mathbf{A}/\mathbf{B}$ .

This functor  $\pi$  satisfies a universal property: every functor  $g : \mathbf{A} \rightarrow \mathbf{C}$  which inverts the morphisms of  $\Sigma$  has a unique factorization  $g_* : \mathbf{A}/\mathbf{B} \rightarrow \mathbf{C}$  through  $\pi$ .

The category  $\mathbf{A}/\mathbf{B}$  is abelian, and the functor  $\pi$  is exact.

The following (Theorem 16.1) is Quillen's Localization Theorem for abelian categories. It first appeared in [2], and that is still one of the better writeups of the result. It implies a localization theorem for the  $K$ -theory of coherent sheaves, which will be discussed below. The  $K$ -theory of coherent sheaves coincides with ordinary vector bundle  $K$ -theory for regular schemes, on account of the Resolution Theorem (Theorem 14.3, Corollary 14.4), so that Theorem 16.1 implies a localization result for the  $K$ -theory of regular schemes. There is a more recent result for the  $K$ -theory of perfect complexes which has far reaching consequences for the  $K$ -theory of singular schemes, which is due to Thomason and Trobaugh [3]. The Thomason-Trobaugh result is delicate, and will not be discussed in this course — it is nevertheless that last available word on this subject.

**Theorem 16.1.** *Suppose that  $\mathbf{B}$  is a Serre subcategory of a small abelian category  $\mathbf{A}$ , let  $i : \mathbf{B} \rightarrow \mathbf{A}$  be the corresponding inclusion functor, and let  $\pi : \mathbf{A} \rightarrow \mathbf{A}/\mathbf{B}$  be the quotient functor. Then the functors  $i$  and  $\pi$  induce a fibre homotopy sequence*

$$BQ(\mathbf{B}) \xrightarrow{i_*} BQ(\mathbf{A}) \xrightarrow{\pi_*} BQ(\mathbf{A}/\mathbf{B}).$$

Write  $i : Q(\mathbf{B}) \rightarrow Q(\mathbf{A})$  and  $\pi : Q(\mathbf{A}) \rightarrow Q(\mathbf{A}/\mathbf{B})$  for the induced functors on  $Q$ -constructions. The idea of the proof of the Theorem is to show that

- 1) the canonical functor  $Q(\mathbf{B}) \rightarrow 0/\pi$  is a weak equivalence, and
- 2) every morphism  $u : a \rightarrow b$  in  $Q(\mathbf{A}/\mathbf{B})$  induces a weak equivalence

$$b/\pi \xrightarrow{\simeq} a/\pi.$$

By a duality argument, it suffices to prove statement 2) for morphisms  $u = i_!$  arising from monomorphisms  $i$ . In particular, it suffices to prove 2) for the morphisms  $i_{b!}$  associated to maps  $0 \rightarrow b$ .

Suppose that  $b \in \mathbf{A}$ . The category  $E_b$  has as objects all maps  $h : a \rightarrow b$  of  $\mathbf{A}$  which are in the

set  $\Sigma$  of morphisms which induce isomorphisms in  $\mathbf{A}/\mathbf{B}$ . The morphisms  $h \rightarrow h'$  of  $E_b$  are equivalence classes of pictures

$$\begin{array}{ccc} c & \xrightarrow{i} & d \\ p \downarrow & \searrow^{h''} & \downarrow h' \\ a & \xrightarrow{h} & b \end{array}$$

where the equivalence relation is defined as in the formation of the category  $Q\mathbf{A}$ , and composition is defined by pullback. Observe that the morphisms  $p$  and  $i$  must be in  $\Sigma$ , since  $\ker(p) \subset \ker(h')$ , and so  $\pi(i)$  is an isomorphism of  $\mathbf{A}/\mathbf{B}$ .

There is a functor  $k_b : E_b \rightarrow Q(\mathbf{B})$  which is defined by taking the map defined by the picture above to the map defined by the picture

$$\ker(h) \leftarrow \ker(h'') \rightarrow \ker(h').$$

Let  $F_b$  be the full subcategory of  $b/\pi$  whose objects are isomorphisms  $\theta : b \rightarrow \pi(a)$  (recall that isomorphisms of  $Q(\mathbf{M})$  are isomorphisms of  $\mathbf{M}$ , for any exact category  $\mathbf{M}$ ).

The subcategory  $b/\Sigma$  of morphisms  $b \rightarrow a$  in  $\Sigma$  is filtering.

There is a functor

$$E : b/\Sigma \rightarrow \mathbf{cat}$$

which takes the morphism

$$\begin{array}{ccc} & a & \\ u \nearrow & & \downarrow f \\ b & & a' \\ v \searrow & & \end{array}$$

to the functor  $f_* : E_a \rightarrow E_{a'}$ . There is also, for each  $s : b \rightarrow a$  in  $\Sigma$ , a functor

$$s^* : E_a \rightarrow F_b$$

which takes the object  $h : d \rightarrow a$  to the object  $\pi(s)^{-1}\pi(h)$  of  $F_b$ . Then it's relatively easy to show that the functors  $s^*, s : b \rightarrow a$  in  $\Sigma$  together induce a functor

$$\phi : \varinjlim_{b \rightarrow a \in \Sigma} E_a \rightarrow F_b,$$

and that the functor  $\phi$  is an isomorphism.

The following diagram commutes up to homotopy for any morphism  $s : b \rightarrow a$  in  $\Sigma$ :

$$\begin{array}{ccc} E_a & \xrightarrow{s^*} & F_b \xrightarrow{i} b/\pi \\ k_a \downarrow & & \downarrow i_b^* \\ Q(\mathbf{B}) & \xrightarrow{\cong} & F_0 \xrightarrow{i} 0/\pi \end{array} \quad (1)$$

where the morphisms labelled by  $i$  are canonical inclusions, and the indicated isomorphism of categories is defined by sending an object  $c$  to the

isomorphism  $i_{\pi(c)!} : 0 \xrightarrow{\cong} \pi(c)$ . The functor  $E_a \rightarrow 0/\pi$  across the top sends  $h : c \rightarrow a$  to the composite

$$0 \xrightarrow{i_{b!}} b \xrightarrow{\pi(h)^{-1}\pi(s)} c$$

or  $i_{c!} : 0 \rightarrow c$ , while the functor across the bottom sends  $h$  to the map  $i_{\ker(h)!} : 0 \rightarrow \ker(h)$ , and the map  $\ker(h) \rightarrow c$  defines the homotopy.

To prove Theorem 16.1, it suffices to prove the following:

**Lemma 16.2.** *The map  $i : F_b \rightarrow b/\pi$  is a weak equivalence for all  $b \in \mathbf{A}/\mathbf{B}$ .*

**Lemma 16.3.** *The map  $k_a : E_a \rightarrow Q(\mathbf{B})$  is a weak equivalence for all  $a \in \mathbf{A}$ .*

**Lemma 16.4.** *Suppose that  $g : b \rightarrow b'$  is a morphism of  $\Sigma$ . Then the induced functor  $E_b \rightarrow E_{b'}$  is a weak equivalence.*

It follows from Lemma 16.4 that  $F_b$  is a filtered colimit of categories, each of which is canonically equivalent to  $E_b$ , and so all maps  $s^* : E_a \rightarrow F_b$  are weak equivalences. We therefore know that all morphisms other than  $i_{b!}^*$  in the diagram (1) are weak equivalences, so  $i_{b!}^*$  is a weak equivalence too. This would complete the proof of Theorem 16.1.

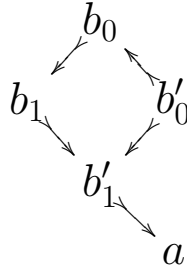
*Proof of Lemma 16.2.* This is somehow the key point.

Suppose that  $u : b \rightarrow \pi(a)$  is an object of  $b/\pi$ . We show that the category  $i/u$  is contractible.

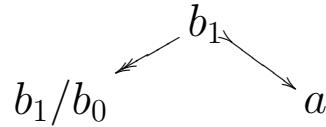
We need a concept: an admissible layer in  $a$  for an object  $a$  of an exact category  $\mathbf{M}$  is a sequence  $(b_0, b_1)$  of subobjects

$$b_0 \twoheadrightarrow b_1 \twoheadrightarrow a$$

Say that  $(b_0, b_1) \leq (b'_0, b'_1)$  if there is a relation



Then the assignment which takes a layer  $(b_0, b_1)$  to the morphism of  $Q(\mathbf{M})$  which is defined by the picture



(subject to making choices) defines a functor  $L(a) \rightarrow Q(\mathbf{M})/a$ , and one can show that this functor is an equivalence of categories. Observe that any two layers  $(b_0, b_1)$  and  $(b'_0, b'_1)$  of  $a$  have a least upper



bound

$$(b_0 \cap b_0, b_1 + b'_1)$$

so that the category  $L(a)$  is filtered.

Suppose that  $u : b \rightarrow \pi(a)$  is defined by the admissible layer

$$b : b_0 \twoheadrightarrow b_1 \twoheadrightarrow a$$

of subobjects in  $\mathbf{A}/\mathbf{B}$  (with  $b = b_1/b_0$ ). The category  $i/u$  is equivalent to the category  $L_v(a)$  of layers

$$c : c_0 \twoheadrightarrow c_1 \twoheadrightarrow a$$

in  $a$  in the category  $\mathbf{A}$  such that  $\pi(c) = b$ . The functor  $\pi$  is exact, so preserves least upper bounds in layers. It follows that  $L_v(a)$  is filtered, and is therefore contractible.  $\square$

*Proof of Lemma 16.3.* Let  $E'_a$  be the full subcategory of  $E_a$  whose objects are the epimorphisms  $c \twoheadrightarrow a$  of  $\Sigma$ . The objects of  $E'_a$  may therefore be identified with exact sequences

$$E : 0 \rightarrow d \twoheadrightarrow c \xrightarrow{p} a \rightarrow 0$$

of  $\mathbf{A}$  with  $d \in \mathbf{B}$ . Observe that the functor  $f_a$  defined by the composite

$$E'_a \subset E_a \xrightarrow{k_a} Q(\mathbf{B})$$

sends the exact sequence above to the kernel object  $d$ .

The proof now comes in two parts:

- a) show that the functor  $f_a$  is a weak equivalence, and
- b) show that the inclusion  $E'_a \subset E_a$  is a weak equivalence.

Statement a) is proved by showing that all categories  $f_a/x$  are contractible. In effect, every morphism

$$\theta : d = f_a(E) \rightarrow x$$

in  $Q(\mathbf{B})$  has a factorization  $\theta = p!j!$  where  $j$  is a monomorphism and  $p$  is an epimorphism. Write  $C'$  for the subcategory of  $f_a/x$  whose objects are morphisms  $q! : f_a(E') \rightarrow x$  which are induced by epimorphisms  $q : x \twoheadrightarrow f_a(E')$  of  $\mathbf{B}$ . Then the pushout diagrams

$$\begin{array}{ccc} d & \longrightarrow & c \xrightarrow{p} a \\ j \downarrow & & \downarrow \\ d' & \longrightarrow & \bar{c} \end{array}$$

define a functor  $f_a/x \rightarrow C'$  which is left adjoint to the inclusion  $C' \subset f_a/x$ , and so the inclusion  $C' \subset f_a/x$  is a weak equivalence. The category  $C'$

has an initial object, defined by the picture

$$\begin{array}{ccc} 0 & \longrightarrow & a \xrightarrow{1} a \\ p_x \downarrow & & \\ x & & \end{array}$$

so that  $C'$  is contractible.

For statement b), let  $\text{Mon}_\Sigma(a)$  be the category whose objects are monomorphisms  $e \succrightarrow a$  in  $\Sigma$ , and whose morphisms are commutative triangles of admissible monomorphisms. Then it's easy to see that  $\text{Mon}_\Sigma(a)$  is filtered: any two objects  $e \succrightarrow a$  and  $e' \succrightarrow a$  of  $\text{Mon}_\Sigma(a)$  have an upper bound  $e + e' \succrightarrow a$ .

There is a functor  $\text{im} : E_a \rightarrow \text{Mon}_\Sigma(a)$  which is defined by taking a morphism  $h : b \rightarrow a$  of  $\Sigma$  to its image  $\text{im}(h) \succrightarrow a$ . For each  $i : k \succrightarrow a$  in  $\Sigma$  there is a functor

$$F_k : E'_k \rightarrow k / \text{im}$$

which is defined by taking the epi  $p : d \twoheadrightarrow k$  in  $\Sigma$  to the diagram

$$\begin{array}{ccc} & & d \\ & & \downarrow p \\ k & \xrightarrow{1} & k \\ & \searrow i & \swarrow i \\ & & a \end{array}$$

This functor  $F_k$  has a right adjoint, which is essentially defined by pullback, and is therefore a weak equivalence.

Pulling back along a monomorphism

$$k' \xrightarrow{i} k \xrightarrow{\quad} a$$

in  $\text{Mon}_\Sigma(a)$  defines a functor

$$i^* : E'_k \rightarrow E'_{k'}.$$

This functor  $i^*$  commutes with taking kernels (namely with the functors  $f_k$  and  $f_{k'}$ ) up to natural isomorphism, and is therefore a weak equivalence. There is also a homotopy commutative diagram

$$\begin{array}{ccc} E'_k & \xrightarrow{i^*} & E'_{k'} \\ F_k \downarrow & & \downarrow F_{k'} \\ k/\text{im} & \xrightarrow{i^*} & k'/\text{im} \end{array}$$

so that the maps  $i^* : k/\text{im} \rightarrow k'/\text{im}$  are weak equivalences. But this means that the sequence

$$E'_a \rightarrow E_a \rightarrow \text{Mon}_\Sigma(a)$$

is a homotopy fibre sequence. The category  $\text{Mon}_\Sigma(a)$  is contractible, so that the inclusion functor  $E'_a \subset E_a$  is a weak equivalence.  $\square$

*Proof of Lemma 16.4.* Suppose that  $g : b \rightarrow b'$  is a morphism of  $\Sigma$ . The diagram

$$\begin{array}{ccc} E_b & \xrightarrow{g_*} & E_{b'} \\ & \searrow k_b & \swarrow k_{b'} \\ & Q(\mathbf{B}) & \end{array}$$

is homotopy commutative, with homotopy determined by the monomorphisms  $\ker(h) \rightarrow \ker(gh)$ . The maps  $k_b$  and  $k_{b'}$  are weak equivalences by Lemma 16.3, so that  $g_*$  is a weak equivalence as well.  $\square$

## 17 Coherent sheaves and open subschemes

1) Suppose that  $X$  is a Noetherian scheme and that  $U$  is an open subscheme of  $X$ . Write  $j : U \hookrightarrow X$  for the inclusion of  $U$  in  $X$ . Write  $Z$  for the complement  $Z = X - U$  with the reduced subscheme structure, and let  $i : Z \hookrightarrow X$  denote the corresponding closed immersion. The “kernel”  $\mathbf{M}_{X-U}$  of the restriction map

$$j^* : \mathbf{M}(X) \rightarrow \mathbf{M}(U)$$

in coherent sheaves consists of all those modules  $M$  such that  $j^*(M) = M|_U$  are zero objects, and as such consists of those modules which are supported

on  $X - U$  in the sense that, in stalks,  $M_x \cong 0$  for  $x \in U$ . Alternatively,  $M \in \mathbf{M}_{X-U}$  if and only if there is some power  $I^n$  of the defining sheaf of ideals for  $Z$  such that  $I^n M = 0$ . The category  $\mathbf{M}_{X-U}$  is a Serre subcategory of  $\mathbf{M}(X)$ , and it's an exercise to show that the induced functor

$$\mathbf{M}(X)/\mathbf{M}_{X-U} \rightarrow \mathbf{M}(U)$$

is an equivalence of categories.

The category  $\mathbf{M}(Z)$  of coherent sheaves on  $Z$  can be identified up to equivalence with those modules on  $X$  which are annihilated by  $I$ , via the transfer map

$$\mathbf{M}(Z) \xrightarrow{i_*} \mathbf{M}(X).$$

The resulting functor

$$\mathbf{M}(Z) \rightarrow \mathbf{M}_{X-U}$$

induces a stable equivalence

$$K(\mathbf{M}(Z)) \simeq K(\mathbf{M}_{X-U})$$

by dévissage (Theorem 15.1), and it follows from Theorem 16.1 that there is a homotopy fibre sequence of (symmetric) spectra

$$K(\mathbf{M}(Z)) \xrightarrow{i_*} K(\mathbf{M}(X)) \xrightarrow{j^*} K(\mathbf{M}(U)),$$

and a corresponding fibre sequence

$$K'(Z) \xrightarrow{i_*} K'(X) \xrightarrow{j^*} K'(U)$$

of stably fibrant models. It follows that there is a long exact sequence

$$\begin{aligned} \dots \xrightarrow{\partial} K'_q(Z) \xrightarrow{i_*} K'_q(X) \xrightarrow{j^*} K'_q(U) \rightarrow \\ \dots \rightarrow K'_1(U) \xrightarrow{\partial} K'_0(Z) \xrightarrow{i_*} K'_0(X) \xrightarrow{j^*} K'_0(U) \rightarrow 0 \end{aligned}$$

Note the surjectivity of the map  $j^* : K'_0(X) \rightarrow K'_0(U)$ .

2) Suppose that  $U \subset \mathrm{Sp}(\mathbb{Z})$  is an open subset. Then the reduced closed complement

$$Z = \mathrm{Sp}(\mathbb{Z}) - U$$

can be identified with the scheme

$$\mathrm{Sp}(\mathbb{F}_{p_1}) \sqcup \dots \sqcup \mathrm{Sp}(\mathbb{F}_{p_n})$$

for some finite collection of primes  $\{p_1, \dots, p_n\}$ , and so there is an equivalence

$$\mathbf{M}(Z) \simeq \mathbf{M}(\mathbb{F}_{p_1}) \times \dots \times \mathbf{M}(\mathbb{F}_{p_n}).$$

Then there is a long exact sequence

$$\dots \rightarrow K'_1(U) \xrightarrow{\partial} \bigoplus_{i=1}^n K'_0(\mathbb{F}_{p_i}) \xrightarrow{i_*} K'_0(Z) \xrightarrow{j^*} K'_0(U) \rightarrow 0.$$

Taking a filtered colimit of these fibre sequences over all open subsets  $U \subset \mathrm{Sp}(\mathbb{Z})$  gives a long exact sequence

$$\cdots \rightarrow K'_1(\mathbb{Q}) \xrightarrow{\partial} \bigoplus_p K'_0(\mathbb{F}_p) \xrightarrow{i_*} K'_0(\mathbb{Z}) \xrightarrow{j^*} K'_0(\mathbb{Q}) \rightarrow 0$$

where the direct sum is indexed over all prime numbers  $p$ . All of the rings appearing in this exact sequence are regular, so that the sequence can be rewritten as a  $K$ -theory exact sequence

$$\cdots \rightarrow K_1(\mathbb{Q}) \xrightarrow{\partial} \bigoplus_p K_0(\mathbb{F}_p) \xrightarrow{i_*} K_0(\mathbb{Z}) \xrightarrow{j^*} K_0(\mathbb{Q}) \rightarrow 0$$

Similarly, if  $A$  is any Dedekind domain (such as a ring of integers in a number field, or the ring of functions of any smooth affine curve over a field), there is a long exact sequence

$$\begin{aligned} \cdots \rightarrow K_1(k(A)) \xrightarrow{\partial} \bigoplus_{\mathcal{P} \in \mathrm{Sp}(A)} K_0(A/\mathcal{P}) \\ \xrightarrow{i_*} K_0(A) \xrightarrow{j^*} K_0(k(A)) \rightarrow 0 \end{aligned}$$

where  $k(A)$  is the quotient field of  $A$ .

**NB:** This long exact sequence is also the localization sequence associated to the functor

$$j^* : \mathbf{M}(A) \rightarrow \mathbf{M}(k(A))$$



which is defined by localization at the generic point; this observations specializes to  $A = \mathbb{Z}$ .

3) Suppose that  $R$  is a discrete valuation ring with quotient field  $k(R)$  and residue field  $k$  (the examples include Witt rings, so the characteristics could be mixed). The kernel of the localization map

$$\mathbf{M}(R) \rightarrow \mathbf{M}(k(R))$$

is the collection of finitely generated  $R$  modules which are annihilated by some power  $\pi^n$  of the uniformizing parameter  $\pi$  (aka. generator of the maximal ideal of  $R$ ). It follows that there is a fibre sequence

$$K(k) \xrightarrow{p_*} K(R) \xrightarrow{j^*} K(k(R))$$

and hence a long exact sequence

$$\begin{aligned} \cdots \rightarrow K_1(R) \rightarrow K_1(k(R)) \xrightarrow{\partial} K_0(k) \\ \xrightarrow{p_*} K_0(R) \xrightarrow{j^*} K_0(k(R)) \rightarrow 0. \end{aligned}$$

The ring  $R$  is local, so that all finitely generated projective  $R$ -modules are free, and so  $K_0(R) \cong \mathbb{Z}$ . The map  $K_0(R) \rightarrow K_0(k(R))$  is isomorphic to the identity map  $\mathbb{Z} \rightarrow \mathbb{Z}$ , and so we have an exact sequence

$$K_1(R) \rightarrow K_1(k(R)) \xrightarrow{\partial} \mathbb{Z} \rightarrow 0$$

Since  $R$  is local, the group  $Sl(R)$  is generated by elementary transformation matrices, so that this sequence can be identified up to isomorphism with the sequence

$$R^* \rightarrow k(R)^* \xrightarrow{v} \mathbb{Z} \rightarrow 0$$

which defines the valuation  $v$ .

4) Suppose that the Noetherian scheme  $X$  has Krull dimension 1 over an algebraically closed field  $k$ , and let  $j : U \subset X$  be an open subscheme. The reduced complement  $Z$  is finite over  $k$  and there is an isomorphism

$$Z \cong \mathrm{Sp}(k) \sqcup \cdots \sqcup \mathrm{Sp}(k).$$

Then there is a long exact sequence

$$\dots K'_1(U) \xrightarrow{\partial} \bigoplus_{i=1}^n K'_0(k) \xrightarrow{i_*} K'_0(X) \rightarrow K'_0(U) \rightarrow 0.$$

The transfer map  $i_*$  is a sum  $\sum i_{x*}$  of the transfer maps corresponding to the points  $x \in Z$ .

If  $X$  is irreducible, then taking a filtered colimit of these sequences over all  $U$  open in  $X$  gives a long exact sequence

$$\dots K'_1(k(X)) \xrightarrow{\partial} \bigoplus_{x \in X} K'_0(k) \xrightarrow{\sum i_{x*}} K'_0(X) \rightarrow K'_0(k(X)) \rightarrow 0$$

where  $k(X)$  is the function field of  $X$ .

Finally, if  $X$  is a smooth curve over  $k$  then all of the local rings  $\mathcal{O}_{x,X}$  are discrete valuation rings, and there is a comparison of localization sequences

$$\begin{array}{ccccc}
K_1(X) & \longrightarrow & K_1(k(X)) & \xrightarrow{\partial} & \bigoplus_{x \in X} K_0(k) \\
\downarrow & & \downarrow = & & \downarrow pr_x \\
K_1(\mathcal{O}_{x,X}) & \longrightarrow & K_1(k(X)) & \xrightarrow{\partial} & K_0(k) \\
\cong \downarrow & & \downarrow \cong & & \downarrow \cong \\
\mathcal{O}_{x,X}^* & \longrightarrow & k(X)^* & \xrightarrow{v_x} & \mathbb{Z}
\end{array}$$

It follows that the boundary map

$$\partial : K_1(k(X)) \rightarrow \bigoplus_{x \in X} K_0(k) \cong \bigoplus_{x \in X} \mathbb{Z}$$

can be identified with sum  $\sum_{x \in X} v_x$  of the valuation maps  $v_x$ . It follows as well that there is an exact sequence

$$K_1(k(X)) \xrightarrow{\partial} \bigoplus_{x \in X} K_0(k) \rightarrow \text{Cl}(X) \rightarrow 0$$

where  $\text{Cl}(X)$  is the divisor class group of  $X$ . If  $X$  is also separated then there is an exact sequence

$$K_1(k(X)) \xrightarrow{\partial} \bigoplus_{x \in X} K_0(k) \rightarrow \text{Pic}(X) \rightarrow 0$$

where

$$\text{Pic}(X) = H_{et}^1(X, \mathbb{G}_m)$$

is the Picard group of  $X$ .

## 18 Product formulas

As usual, we begin with a little homotopy theory.

Suppose given a diagram of pointed maps

$$\begin{array}{ccc} Z \wedge X_1 & \longrightarrow & X_2 \\ 1 \wedge f_1 \downarrow & & \downarrow f_2 \\ Z \wedge Y_1 & \longrightarrow & Y_2 \end{array} \quad (2)$$

There is a model structure on the category of arrows of pointed maps for which a map

$$\begin{array}{ccc} A_1 & \xrightarrow{\alpha_1} & A_2 \\ f_1 \downarrow & & \downarrow f_2 \\ B_1 & \xrightarrow{\alpha_2} & B_2 \end{array}$$

from  $f_1$  to  $f_2$  is a weak equivalence (respectively cofibration) if and only if the maps  $\alpha_1$  and  $\alpha_2$  are weak equivalences (respectively cofibrations). It's not hard to see that an object  $f : X \rightarrow Y$  in this category is fibrant if and only if  $Y$  is fibrant and  $f$  is a fibration. It follows that by taking fibrant models for both  $f_1$  and  $f_2$ , the square (2) can be replaced up to weak equivalence by a square for which the maps  $f_1$  and  $f_2$  are fibrations and the spaces  $X_1, X_2, Y_1$  and  $Y_2$  are fibrant. Suppose

henceforth that the maps and spaces in the diagram (2) satisfy these criteria.

Let  $F_1$  and  $F_2$  be the fibres of  $f_1$  and  $f_2$ , respectively, over the respective base points of  $Y_1$  and  $Y_2$ . Then there is a unique induced map  $m : Z \wedge F_1 \rightarrow F_2$  such that the diagram

$$\begin{array}{ccc} Z \wedge F_1 & \xrightarrow{m} & F_2 \\ 1 \wedge i \downarrow & & \downarrow i \\ Z \wedge X_1 & \xrightarrow{m} & X_2 \end{array}$$

commutes.

The map  $m : Z \wedge Y_1 \rightarrow Y_2$  induces an action

$$m : Z \wedge \mathbf{hom}_*(K, Y_1) \rightarrow \mathbf{hom}_*(K, Y_2)$$

for any pointed space  $K$ : this map  $m$  is adjoint to the composite

$$Z \wedge \mathbf{hom}_*(K, Y_1) \wedge K \xrightarrow{1 \wedge ev} Z \wedge Y_1 \xrightarrow{m} Y_2,$$

where  $ev : \mathbf{hom}_*(K, Y_1) \wedge K \rightarrow Y_1$  is the evaluation map. This induced pairing is natural in  $K$ .

It follows that there is an induced pairing

$$\begin{array}{ccc} Z \wedge PY_1 & \xrightarrow{m} & PY_2 \\ 1 \wedge \pi \downarrow & & \downarrow \pi \\ Z \wedge Y_1 & \xrightarrow{m} & Y_2 \end{array}$$

for the path-loop fibration  $\pi$ , and there is a commutative diagram

$$\begin{array}{ccc}
Z \wedge F_1 & \xrightarrow{m} & F_2 \\
1 \wedge v_1 \downarrow \simeq & & \simeq \downarrow v_2 \\
Z \wedge (PY_1 \times_{Y_1} X_1) & \longrightarrow & PY_2 \times_{Y_2} X_2 \\
1 \wedge u_1 \uparrow & & \uparrow u_2 \\
Z \wedge \Omega Y_1 & \xrightarrow{m} & \Omega Y_2
\end{array}$$

The map  $v_i^{-1}u_i$  is the boundary homomorphism  $\partial : \Omega Y_i \rightarrow F_i$  in the pointed homotopy category, and it follows that there is a commutative diagram

$$\begin{array}{ccc}
Z \wedge \Omega Y_1 & \xrightarrow{m} & \Omega Y_2 \\
1 \wedge \partial \downarrow & & \downarrow \partial \\
Z \wedge F_1 & \xrightarrow{m} & F_2
\end{array}$$

in the homotopy category.

Generally, a pairing  $m : Z \wedge X \rightarrow X$  induces a map

$$\cup : \pi_p(Z) \otimes \pi_q(X) \rightarrow \pi_{p+q}(X).$$

In effect, if  $\alpha : S^p \rightarrow Z$  and  $\beta : S^q \rightarrow X$  represent elements  $[\alpha] \in \pi_p(Z)$  and  $[\beta] \in \pi_q(X)$  respectively, then  $[\alpha] \cup [\beta]$  is represented by the composite

$$S^{p+q} \cong S^p \wedge S^q \xrightarrow{\alpha \wedge \beta} Z \wedge X \xrightarrow{m} X.$$

Here,  $S^r$  is the  $r$ -fold smash power  $S^1 \wedge \cdots \wedge S^1$  of copies of  $S^1$ , and it's an exercise to show that the homotopy group  $\pi_r(X)$  for a pointed Kan complex  $X$  is isomorphic to the set  $\pi_*(S^r, X)$  of pointed homotopy classes of maps of pointed simplicial sets from  $S^r$  to  $X$ .

Suppose that  $\alpha : S^p \rightarrow Z$  and  $\beta : S^{q+1} \rightarrow Y_1$  represent elements of the groups  $\pi_p(Z)$  and  $\pi_{q+1}(Y_1)$  respectively. The boundary map

$$\partial : \pi_{q+1}(Y_1) \rightarrow \pi_q(F_1)$$

is defined for  $[\beta]$  by taking the adjoint  $\beta_* : S^q \rightarrow \Omega Y_1$  and forming the composite

$$S^q \xrightarrow{\beta_*} \Omega Y_1 \xrightarrow{\partial} F_1$$

in the pointed homotopy category:

$$\partial([\beta]) = \partial \cdot [\beta_*].$$

The composite

$$S^{p+q} \cong S^p \wedge S^q \xrightarrow{\alpha \wedge \beta_*} Z \wedge \Omega Y_1 \xrightarrow{m} \Omega Y_2$$

is adjoint to the composite

$$S^{p+q+1} \cong S^p \wedge S^{q+1} \xrightarrow{\alpha \wedge \beta} Z \wedge Y_1 \xrightarrow{m} Y_2.$$

It follows that

$$\partial([\alpha] \cup [\beta]) = [\alpha] \cup \partial([\beta]). \quad (3)$$

Now suppose that  $X$  is a Noetherian scheme. Then tensor product defines a biexact pairing

$$\otimes : \mathcal{P}(X) \times \mathbf{M}(X) \rightarrow \mathbf{M}(X). \quad (4)$$

By Proposition 9.3 (Lecture 004), the biexact pairing (4) induces a smash product pairing

$$K(\mathcal{P}(X)) \wedge_{\Sigma} K(\mathbf{M}(X)) \xrightarrow{\cup} K(\mathbf{M}(X))$$

of symmetric spectra, but we have to be a little careful to interpret it properly. The pairing must be derived in the stable category, because the smash product doesn't quite preserve stable equivalences.

Generally, if  $m : Y_1 \wedge_{\Sigma} Y_2 \rightarrow Y_3$  is a morphism of symmetric spectra, then by taking stably fibrant models  $j_V : V \rightarrow FV$  and stably cofibrant models  $\pi_W : CW \rightarrow W$  one constructs a diagram

$$\begin{array}{ccc} Y_1 \wedge_{\Sigma} Y_2 & \xrightarrow{m} & Y_3 \\ \pi_{Y_1} \wedge \pi_{Y_2} \uparrow & & \uparrow \pi_{Y_3} \\ CY_1 \wedge_{\Sigma} CY_2 & \xrightarrow{m'} & CY_3 \\ j_{CY_1} \wedge j_{CY_2} \downarrow & & \downarrow j_{CY_3} \\ FCY_1 \wedge_{\Sigma} FCY_2 & \xrightarrow{m''} & FCY_3 \end{array} \quad (5)$$

where the map  $m'$  exists because  $CY_1 \wedge CY_2$  is stably cofibrant, and  $m''$  exists because  $j_{CY_1} \wedge j_{CY_2}$



is a stably trivial cofibration (see [1, Prop. 4.19], for example). The maps  $\pi_{Y_i}$  and  $j_{CY_i}$  can be chosen functorially because the stable model structure on symmetric spectra is cofibrantly generated, and the maps  $m'$  and  $m''$  are uniquely determined up to simplicial homotopy. The induced maps  $\pi_* : FCY_i \rightarrow FY_i$  are stable hence levelwise weak equivalences of stably fibrant symmetric spectra, and so the objects  $FCY_i$  are stably fibrant models for the objects  $Y_i$ , respectively.

Recall that the functor  $\text{Spt}_\Sigma \rightarrow s\mathbf{Set}_*$  which takes a symmetric spectrum  $X$  to the pointed space  $X^n$  at level  $n$  has a left adjoint

$$F_n : s\mathbf{Set}_* \rightarrow \text{Spt}_\Sigma.$$

One way to define this functor is to set

$$F_n(K) = V(\Sigma^\infty K[n]),$$

where  $V : \text{Spt} \rightarrow \text{Spt}_\Sigma$  is the left adjoint to the functor  $U : \text{Spt}_\Sigma \rightarrow \text{Spt}$  which forgets the symmetric group actions. The functor  $V$  preserves cofibrations, so that all symmetric spectra  $F_n(K)$  are cofibrant. It follows that if  $j_X : X \rightarrow FX$  is a stably fibrant model for a symmetric spectrum  $X$ ,

then there are isomorphisms

$$\begin{aligned} [F_n(K), X] &\cong [F_n(K), FX] \cong \pi(F_n(K), FX) \\ &\cong \pi(K, FX^n) \cong [\Sigma^\infty K[n], UFX] \end{aligned}$$

In particular, a map  $f : X \rightarrow Y$  of symmetric spectra is a stable equivalence if and only if the induced maps

$$[F_n(S^r), X] \xrightarrow{f_*} [F_n(S^r), Y]$$

are group isomorphisms for all  $n$  and  $r$ . This requirement is over determined: it suffices that  $f_*$  be an isomorphism in the cases where  $r = 0$  if  $n > 0$  and for all  $r$  if  $n = 0$ , since there are stable equivalences of spectra

$$\Sigma^\infty(S^r)[n] \rightarrow \Sigma^\infty(S^{r-1})[n-1].$$

Write

$$\pi_n^s(X) = \begin{cases} [F_0(S^n), X] & \text{if } n \geq 0, \text{ and} \\ [F_{-n}(S^0), X] & \text{if } n < 0. \end{cases}$$

Then a map  $f : X \rightarrow Y$  of symmetric spectra is a stable equivalence if and only if the induced maps

$$f_* : \pi_n^s(X) \rightarrow \pi_n^s(Y)$$

are isomorphisms for all  $n \in \mathbb{Z}$ .

Note that the stable homotopy groups  $\pi_n^s(X)$  coincide up to natural isomorphism with the traditional stable homotopy groups  $\pi_n^s(UF(X))$  of the spectrum  $UF(X)$  underlying a stably fibrant model  $F(X)$  of  $X$ .

There are natural isomorphisms

$$F_n(K) \wedge_{\Sigma} F_m(L) \cong F_{n+m}(K \wedge L)$$

(see [1, Cor. 4.18]). From the diagram (5) above, we see that any smash product pairing

$$m : Y_1 \wedge_{\Sigma} Y_2 \rightarrow Y_3$$

induces pairings

$$\begin{array}{ccc} [F_n(K), Y_1] \otimes [F_m(L), Y_2] & \xrightarrow{\cup} & [F_{n+m}(K \wedge L), Y_3] \\ \cong \downarrow & & \downarrow \cong \\ [F_n(K), FCY_1] \otimes [F_m(L), FCY_2] & & [F_{n+m}(K \wedge L), FCY_3] \\ \cong \downarrow & & \downarrow \cong \\ \pi(F_n(K), FCY_2) \otimes \pi(F_m(L), FCY_2) & \xrightarrow{m_*''} & \pi(F_{n+m}(K \wedge L), FCY_3) \end{array}$$

where the pairing  $m_*''$  takes the pair  $([\alpha], [\beta])$  to map represented by the composite

$$F_{n+m}(K \wedge L) \cong F_n(K) \wedge_{\Sigma} F_m(L) \xrightarrow{\alpha \wedge \beta} FCY_1 \wedge_{\Sigma} FCY_2 \xrightarrow{m''} FCY_3.$$

In this way, we see that the smash product pairing  $m$  induces a cup product pairing

$$\pi_n^s(Y_1) \otimes \pi_m^s(Y_2) \xrightarrow{\cup} \pi_{n+m}^s(Y_3). \quad (6)$$

If all symmetric spectra  $Y_i$  are connective, then there are isomorphisms

$$\pi_n^s(Y_i) \cong \pi_n(FCY_i^0),$$

and the pairing (6) is isomorphic to the pairing

$$\pi_n(FCY_1^0) \otimes \pi_m(FCY_2^0) \xrightarrow{\cup} \pi_{n+m}(FCY_3^0)$$

which is induced by the space-level smash product pairing

$$FCY_1^0 \wedge FCY_2^0 \rightarrow FCY_3^0$$

which is a component of the map of symmetric spectra  $m''$ . Observe also that the component

$$FCY_1^1 \wedge FCY_2^1 \rightarrow FCY_3^2$$

can be looped to give a map

$$\Omega(FCY_1^1) \wedge \Omega(FCY_2^1) \rightarrow \Omega^2(FCY_3^2)$$

and that there is a commutative diagram

$$\begin{array}{ccc} FCY_1^0 \wedge FCY_2^0 & \longrightarrow & FCY_3^0 \\ \sigma_* \wedge \sigma_* \downarrow \simeq & & \simeq \downarrow \sigma_* \\ \Omega(FCY_1^1) \wedge \Omega(FCY_2^1) & \longrightarrow & \Omega^2(FCY_3^2) \end{array} \quad (7)$$

in which the maps  $\sigma_*$  (which are weak equivalences since the objects  $FCY_i$  are stably fibrant) are adjoint bonding maps.

It follows that the tensor product pairing

$$\mathcal{P}(X) \times \mathbf{M}(X) \xrightarrow{\otimes} \mathbf{M}(X)$$

induces cup product pairings

$$K_n(X) \otimes K'_m(X) \xrightarrow{\cup} K'_{n+m}(X)$$

for all  $n, m$ , which can (and in fact has been for some time) defined as the pairing in homotopy groups which is induced by the map

$$\Omega(K(\mathcal{P}(X))^1) \wedge \Omega(K(\mathbf{M}(X))^1) \xrightarrow{\cup} \Omega^2(K(\mathbf{M}(X))^2) \quad (8)$$

which, in turn, is induced by the pairing

$$s_{\bullet}(\mathcal{P}(X)) \times s_{\bullet}(\mathbf{M}(X)) \xrightarrow{\otimes} s_{\bullet}^2(\mathbf{M}(X)).$$

We have been writing  $K(X)$  for “the” fibrant model of the symmetric spectrum  $K(\mathcal{P}(X))$  and  $K'(X)$  for “the” fibrant model of the symmetric spectrum  $K(\mathbf{M}(X))$ . We can and will write

$$K(X)^0 \wedge K'(X)^0 \xrightarrow{\cup} K'(X)^0$$

for the map (8).

Here are some applications of these ideas:

1) Suppose that  $j : U \subset X$  is an open subscheme of  $X$  with reduced closed complement  $Z = X - U$ .

The tensor product pairing

$$\mathcal{P}(X) \times \mathbf{M}(X) \xrightarrow{\otimes} \mathbf{M}(X)$$

respects restriction to open subsets  $U$  and preserves modules supported on  $Z = X - U$ , so that there is a commutative diagram of functors

$$\begin{array}{ccc}
\mathcal{P}(X) \times \mathbf{M}_{X-U} & \xrightarrow{\otimes} & \mathbf{M}_{X-U} \\
1 \times i \downarrow & & \downarrow i \\
\mathcal{P}(X) \times \mathbf{M}(X) & \xrightarrow{\otimes} & \mathbf{M}(X) \\
j^* \times j^* \downarrow & & \downarrow j^* \\
\mathcal{P}(U) \times \mathbf{M}(U) & \xrightarrow{\otimes} & \mathbf{M}(U)
\end{array} \tag{9}$$

There is an induced biexact pairing

$$\mathcal{P}(X) \times \mathbf{M}(U) \xrightarrow{j^* \times 1} \mathcal{P}(U) \times \mathbf{M}(U) \xrightarrow{\otimes} \mathbf{M}(U)$$

so that the space  $K(X)^0$  acts on the fibre sequence

$$K'_{X-U}(X)^0 \rightarrow K'(X)^0 \xrightarrow{j^*} K'(U)^0,$$

arising from the Localization Theorem (Theorem 16.1), where  $K'_{X-U}(X)$  is the stably fibrant model for the (connective) symmetric spectrum  $K(\mathbf{M}_{X-U})$  with homotopy groups

$$K'_{X-U}(X)_m = \pi_m^s K'_{X-U}(X) = \pi_m K'_{X-U}(X)^0.$$

It follows that there is a commutative diagram of cup product pairings

$$\begin{array}{ccc}
K_n(X) \otimes K'_m(X) & \xrightarrow{\cup} & K'_{n+m}(X) \\
1 \otimes j^* \downarrow & & \downarrow j_* \\
K_n(X) \otimes K'_m(U) & \xrightarrow{\cup} & K'_{n+m}(U)
\end{array}$$

and a corresponding induced pairing

$$K_n(X) \otimes K'_{X-U}(X)_m \xrightarrow{\cup} K'_{X-U}(X)_{n+m}.$$

One uses the relation (3) to show that there is a diagram

$$\begin{array}{ccc} K_n(X) \otimes K'_{m+1}(U) & \xrightarrow{\cup} & K'_{n+m+1}(U) & (10) \\ \downarrow 1 \otimes \partial & & \downarrow \partial & \\ K_n(X) \otimes K'_{X-U}(X)_m & \xrightarrow{\cup} & K'_{X-U}(X)_{n+m} & \end{array}$$

where  $\partial$  is the boundary map in the long exact sequence which is associated to the fibre sequence

$$K'_{X-U}(X)^0 \rightarrow K'(X)^0 \xrightarrow{j^*} K'(U)^0.$$

2) Suppose that  $\pi : Y \rightarrow X$  is a finite morphism of Noetherian schemes, and recall that such a map  $\pi$  induces a morphism  $\pi_* : \mathbf{M}(Y) \rightarrow \mathbf{M}(X)$  in coherent sheaves (the transfer) and an inverse image map  $\pi^* : \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$  in vector bundles. There is a homotopy commutative diagram of biexact pairings

$$\begin{array}{ccc} & \xrightarrow{\pi^* \times 1} & \mathcal{P}(Y) \times \mathbf{M}(Y) \xrightarrow{\otimes} \mathbf{M}(Y) \\ \mathcal{P}(X) \times \mathbf{M}(Y) & & \downarrow \pi_* \\ & \xrightarrow{1 \times \pi_*} & \mathcal{P}(X) \times \mathbf{M}(X) \xrightarrow{\otimes} \mathbf{M}(X) \end{array} \quad (11)$$

This diagram is homotopy commutative in the sense that it commutes up to a canonical morphism

$$P \otimes \pi_*(M) \rightarrow \pi_*(\pi^*(P) \otimes M)$$

which is an isomorphism for all vector bundles  $P$  on  $X$  and coherent sheaves  $M$  on  $Y$ . This diagram induces, in various levels of complexity, a homotopy commutative diagram

$$\begin{array}{ccc}
& & K(Y) \wedge K'(Y) \xrightarrow{\cup} K'(Y) \\
& \nearrow^{\pi^* \wedge 1} & \\
K(X) \wedge K'(Y) & & \downarrow \pi_* \\
& \searrow_{1 \wedge \pi_*} & K(X) \wedge K'(X) \xrightarrow{\cup} K'(X)
\end{array}
\tag{12}$$

of symmetric spectra, a homotopy commutative diagram

$$\begin{array}{ccc}
& & K(Y)^0 \wedge K'(Y)^0 \xrightarrow{\cup} K'(Y)^0 \\
& \nearrow^{\pi^* \wedge 1} & \\
K(X)^0 \wedge K'(Y)^0 & & \downarrow \pi_* \\
& \searrow_{1 \wedge \pi_*} & K(X)^0 \wedge K'(X)^0 \xrightarrow{\cup} K'(X)^0
\end{array}
\tag{13}$$

of pointed spaces, and commutative diagrams of



abelian group homomorphisms

$$\begin{array}{ccc}
& & K_n(Y) \otimes K'_m(Y) \xrightarrow{\cup} K'_{n+m}(Y) \\
& \nearrow^{\pi^* \otimes 1} & \\
K_n(X) \otimes K'_m(Y) & & \\
& \searrow_{1 \otimes \pi_*} & \\
& & K_n(X) \otimes K'_m(X) \xrightarrow{\cup} K'_{n+m}(X)
\end{array}
\quad \begin{array}{c} \downarrow \pi_* \\ \\ \end{array}
\quad (14)$$

In any of the forms (11), (12), (13) or (14), this phenomenon is called the *projection formula*.

3) Suppose again that  $U$  is an open subscheme of a Noetherian scheme  $X$ , and let  $Z = X - U$  be the closed complement with the reduced subscheme structure. Recall that the category  $\mathbf{M}(Z)$  can be identified up to equivalence with the subcategory  $\mathbf{M}_I(X)$  of  $\mathbf{M}_{X-U}$  which consists of those modules which are annihilated by the defining ideal  $I$ , and that this identification is induced by the transfer map  $i_* : \mathbf{M}(Z) \rightarrow \mathbf{M}(X)$  which is associated to the closed immersion  $i$ . Recall further that the inclusion  $\mathbf{M}_I(X) \rightarrow \mathbf{M}_{X-U}$  is a  $K$ -theory equivalence, by dévissage. The map  $i$  is a finite morphism of Noetherian schemes, so that there is

a projection formula

$$\begin{array}{ccc}
& & \mathcal{P}(Z) \times \mathbf{M}(Z) \xrightarrow{\otimes} \mathbf{M}(Z) \\
& \nearrow^{i^* \times 1} & \\
\mathcal{P}(X) \times \mathbf{M}(Z) & & \\
& \searrow_{1 \times i_*} & \\
& & \mathcal{P}(X) \times \mathbf{M}(X) \xrightarrow{\otimes} \mathbf{M}(X)
\end{array}
\quad \begin{array}{c} \downarrow i_* \\ \\ \downarrow i_* \end{array}$$

It follows that there is a homotopy commutative diagram of pairings

$$\begin{array}{ccc}
& & \mathcal{P}(Z) \times \mathbf{M}(Z) \xrightarrow{\otimes} \mathbf{M}(Z) \\
& \nearrow^{i^* \times 1} & \\
\mathcal{P}(X) \times \mathbf{M}(Z) & & \\
& \searrow_{1 \times i_*} & \\
& & \mathcal{P}(X) \times \mathbf{M}_I(X) \xrightarrow{\otimes} \mathbf{M}_I(X)
\end{array}
\quad \begin{array}{c} \downarrow i_* \\ \\ \downarrow i_* \end{array}$$

in which the maps  $i_*$  are  $K$ -theory equivalences.

It follows that in the diagram

$$\begin{array}{ccc}
K(X)^0 \wedge K'(Z)^0 & \longrightarrow & K'(Z)^0 \\
1 \wedge i_* \downarrow & & \downarrow i_* \\
K(X)^0 \wedge K'(X)^0 & \xrightarrow{\cup} & K'(X)^0 \\
1 \wedge j^* \downarrow & & \downarrow j^* \\
K(X)^0 \wedge K'(U)^0 & \xrightarrow{\cup} & K'(U)^0
\end{array}$$

the induced pairing  $K(X)^0 \wedge K'(Z)^0 \rightarrow K'(Z)^0$  on the homotopy fibre of  $j^*$  coincides up to homotopy with the composite

$$K(X)^0 \wedge K'(Z)^0 \xrightarrow{i^* \wedge 1} K(Z)^0 \wedge K'(Z)^0 \xrightarrow{\cup} K'(Z)^0,$$

where the indicated cup product arises from the tensor product pairing

$$\mathcal{P}(Z) \times \mathbf{M}(Z) \xrightarrow{\otimes} \mathbf{M}(Z).$$

We have proved the following:

**Lemma 18.1.** *Suppose that  $X$  is a Noetherian scheme, with open subscheme  $i : U \subset X$  and (reduced) closed complement  $j : Z \subset X$ . Suppose that  $v \in K_n(X)$  and  $b \in K'_{m+1}(U)$ . Then*

$$\partial(v \cup b) = i^*(v) \cup \partial(b)$$

*in  $K_{n+m}(Z)$ .*

Here are some other things to notice:

1) Tensor product is commutative up to natural isomorphism, meaning that the diagram of biexact pairings

$$\begin{array}{ccc} \mathcal{P}(X) \times \mathbf{M}(X) & \xrightarrow{\otimes} & \mathbf{M}(X) \\ \tau \downarrow \cong & \nearrow \otimes & \\ \mathbf{M}(X) \times \mathcal{P}(X) & & \end{array}$$

commutes up to canonical natural isomorphism, where  $\tau$  is the isomorphism which reverses factors. Thus  $K(X)$  acts on  $K'(X)$  on both the right and the left, and the induced cup product pairings are related by the equations

$$u \cup v = (-1)^{mn} v \cup u$$

in  $K'_*(X)$ , for  $u \in K_n(X)$  and  $v \in K'_m(X)$ . The sign comes from the fact that the map

$$S^n \wedge S^m \xrightarrow{c_{n,m}} S^m \wedge S^n$$

which is induced by the shuffle  $c_{n,m} \in \Sigma_{m+n}$  which moves the first  $n$  letters past the last  $m$  letters, in order, has degree  $mn$ .

2) Tensor product gives  $K(X)$  the structure of a ring spectrum, and gives the spectrum  $K'(X)$  the structure of a module spectrum over the ring spectrum  $K(X)$ . Further, all morphisms of schemes  $\pi : Y \rightarrow X$  induce homomorphisms of ring spectra  $\pi^* : K(X) \rightarrow K(Y)$ . Restriction of scalars along  $\pi^*$  gives  $K'(Y)$  the structure of a module spectrum over  $K(X)$ . When  $\pi : Y \rightarrow X$  is finite scheme morphism, then the transfer homomorphism  $\pi_* : K'(Y) \rightarrow K'(X)$  is  $K(X)$ -linear — this is the content of the projection formula (12).

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