

A Univalent Formalization of Affine Schemes in Cubical Agda

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Set-level (constructive) mathematics in Cubical Agda

- Equality by paths ($x \equiv_A y$) as functions

$$p : I \rightarrow A \quad \text{with} \quad p(i_0) = x \quad \& \quad p(i_1) = y$$

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- Everything computes!
- \Rightarrow Great for univalent formalization of set-level constructive mathematics in the spirit of Voevodsky [2015]

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All use **non-constructive** “Hartshorne” approach...

⇒ Formalize **constructive** “lift from basis” approach in Cubical Agda (following Coquand et al. [2009] with crucial help from univalence)

Structure sheaf on the Zariski lattice (over R)

classically: compact open sets

$$U \subseteq \text{Spec}(R) = \{\mathfrak{p} \text{ prime ideal}\}$$

constructively: f.g. ideals $\mathfrak{a}, \mathfrak{b}$

$$\text{modulo } \sqrt{\mathfrak{a}} = \sqrt{\mathfrak{b}}$$

$$\mathcal{O} : \text{ZL}_R^{\text{op}} \rightarrow \text{CommRing}$$

$$D(f) \mapsto R[1/f]$$

generators, $f \in R$

classically: $\{\mathfrak{p} \mid f \notin \mathfrak{p}\}$

constructively: equiv. class of $\langle f \rangle$

ring of fractions
of the form r/f^n

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For Kan-extension to exist need *small* \mathbf{ZL} !
Construction due to Español [1983]:

$$\mathbf{ZL} = \mathbf{List} R / \sim$$

$$\begin{aligned} [x_1, \dots, x_n] \sim [y_1, \dots, y_m] &= \forall i. x_i \in \sqrt{\langle y_1, \dots, y_m \rangle} \\ &\& \forall i. y_i \in \sqrt{\langle x_1, \dots, x_n \rangle} \end{aligned}$$

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Want to define function

$$\mathcal{O}^B : \Sigma[\mathfrak{a} \in ZL] \underbrace{(\exists[f \in R] (D(f) \equiv \mathfrak{a}))}_{\text{h-prop}} \rightarrow \underbrace{\text{CommRing}}_{\text{h-groupoid}}$$

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Textbook-argument: $D(f) = D(g) \Rightarrow \text{canonical iso } R[1/f] \cong R[1/g]$

The “algebra trick”

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$$D(f) \leq D(g) \Leftrightarrow \sqrt{\langle f \rangle} \subseteq \sqrt{\langle g \rangle} \Leftrightarrow g \in R[1/f]^{\times}$$

$$\Leftrightarrow \exists! \varphi : R[1/g] \rightarrow R[1/f] \text{ s.t. } \varphi(x/1) = x/1 \text{ for } x \in R$$

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And by using univalence/the SIP for $R\text{-Alg}$:

$$D(f) \equiv D(g) \Rightarrow \mathbf{isContr} \left(R[1/f] \equiv R[1/g] \right)$$

(center of contraction: \mathbf{sip} applied to unique iso $\varphi_{fg} : R[1/f] \cong R[1/g]$)

Overcoming the h-level mismatch (in R -Alg)

By a result due to Kraus [2015] we need:

for each $f g h : R$ with $D(f) \equiv D(g) \equiv D(h)$, a filler of the square

$$\begin{array}{ccc} R[1/f] & \xrightarrow{\text{sip } \varphi_{fh} \ i} & R[1/h] \\ \parallel & & \uparrow \text{sip } \varphi_{gh} \ j \\ R[1/f] & \xrightarrow{\text{sip } \varphi_{fg} \ i} & R[1/g] \end{array} \quad \begin{array}{c} j \\ \uparrow \\ \rightarrow i \end{array}$$

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Proof: This is equivalent to giving a path

$$\text{sip } \varphi_{fh} \equiv \text{sip } \varphi_{fg} \bullet \text{sip } \varphi_{gh}$$

Sheaf property for binary cover $D(h) \equiv D(f) \vee D(g)$

Goal: outer square is pullback

Lemma: $\langle f, g \rangle = A \Rightarrow$ pullback square

$$\begin{array}{ccc} R[1/h] & \xrightarrow{\quad} & R[1/g] \\ \downarrow & & \downarrow \\ R[1/f] & \xrightarrow{\quad} & R[1/fg] \end{array}$$

$A \xrightarrow{\quad} A[1/g]$
 $\downarrow \lrcorner \quad \downarrow$
 $A[1/f] \xrightarrow{\quad} A[1/fg]$

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Lemma with $\langle f/1, g/1 \rangle = R[1/h] \Rightarrow$ pullback square

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$$\begin{array}{ccc} R[1/h] & \xrightarrow{\quad} & R[1/h][1/g] \\ \downarrow & \lrcorner & \downarrow \\ R[1/h][1/f] & \xrightarrow{\quad} & R[1/h][1/fg] \end{array}$$

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- transport along paths of rings, e.g. $R[1/h][1/f] \equiv R[1/hf] \equiv R[1/f]$

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- get dependent paths between morphisms for free

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- transport along paths of rings, e.g. $R[1/h][1/f] \equiv R[1/hf] \equiv R[1/f]$
- get dependent paths between morphisms for free
- forgetful functor pres. limits \Rightarrow pullback square in comm. rings

$$\begin{array}{ccc} R[1/h] & \xrightarrow{\exists!} & R[1/g] \\ \downarrow \exists! & \lrcorner & \downarrow \exists! \\ R[1/h] & \xrightarrow{\quad} & R[1/h][1/g] \\ \downarrow & \lrcorner & \downarrow \\ R[1/h][1/f] & \xrightarrow{\quad} & R[1/h][1/fg] \\ \downarrow \exists! & \lrcorner & \downarrow \exists! \\ R[1/f] & \xrightarrow{\exists!} & R[1/fg] \end{array}$$

Summary & future work

We presented the outline of a formalization of affine schemes that:

- uses a point-free, constructive Zariski lattice but follows (& elaborates) the textbook strategy $D(f) \mapsto R[1/f]$
- uses a simple algebraic observation and univalence to make the construction work *out of the box!* (sort of)

What lies ahead:

- define (spectral) schemes as ringed lattice
- show that classically those are actually *quasi-compact, quasi-separated schemes*
- projective schemes

Thank You

Sheaves

Idea: restrict sheaf definition for locales to finite covers.

Presheaf $\mathcal{F} : L^{op} \rightarrow \mathcal{C}$ is *sheaf on distributive lattice* L iff:

- $\mathcal{F}(\perp)$ is the terminal object in \mathcal{C}
- $\forall x, y \in L$ the following is a pullback square

$$\begin{array}{ccc} \mathcal{F}(x \vee y) & \longrightarrow & \mathcal{F}(x) \\ \downarrow & \lrcorner & \downarrow \\ \mathcal{F}(y) & \longrightarrow & \mathcal{F}(x \wedge y) \end{array}$$

Links to library

- The Zariski lattice
- General construction of presheaf and lemma for sheaf property
(lines 532 & 633)
- Key lemma from univalence
(line 298)

Or just click your way through, starting [here](#) (def. of the structure sheaf)

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