

PI and Institution Checklist – Notice of Award of US and NIH Federal Funds		
Criteria or Requirement	Award Specific Detail and Links to policies and regulations	
Sponsor		
ROLA	Proposal:	Award:
CFDA 93.865	Grant:	FAIN: <i>(must be noted on each consortium/subaward issued)</i>
Title		
Budget Period		
Project Period		
Award Terms	Award Date:	Amount: Currency:
Pursuant to USCODE Authority		
US FCOI To be completed by Investigator. <i>Investigator is defined as an individual responsible for the design, conduct or reporting of the research.</i> Always include: PI. May include: Co-investigators, others	LEAD PI to identify individuals who meet this criteria: <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Terms: FCOI be updated annually 42 CFR Part 50 Subpart F Compliance includes requirement to view NIH Tutorial at: http://grants.nih.gov/grants/policy/coi/tutorial2011/fcoi.htm NIH Policy and Information: http://grants.nih.gov/grants/policy/coi/
SubAwards	Institution	Funding Detail and Terms:
RESTRICTION		
Publications and press releases		

Grants Policy Statement	To be read by PI, Senior/Key Persons and Administrative Staff	http://grants.nih.gov/policy/nihgps/index.htm
NIH Public Access Policy	Mandatory: Refer to NOT-OD-08-033	http://publicaccess.nih.gov/
Progress Reports	Due annually on or before anniversary of budget/ project period start date	http://grants.nih.gov/grants/policy/myf.htm
Transparency Act	Relates to subawards and executive compensation	2 CFR Part 170 http://grants.nih.gov/grants/policy/awardconditions.htm
Clinical Trials	Public Law 110-85, Title VIII	The “responsible party” must register “applicable clinical trials” on the ClinicalTrials.gov Protocol Registration System Information Website: http://grants.nih.gov/ClinicalTrials_fdaaa/
CloseOut	Grants Policy Statement Section 8.6	https://grants.nih.gov/grants/closeout/index.htm http://grants.nih.gov/grants/policy/policy.htm#gps
Federal Financial Report (FFR)	SF 425 submitted through eRA Commons within 120 days of expiration date	(Note terms on NOA, page 4) NIH Grants Policy Statement Section 8.6.1 Financial Reports http://grants.nih.gov/grants/policy/policy.htm#gps
Final Invention Statement and Certification Form	HHS 568 must be submitted within 120 days of expiration date	Download at http://grants.nih.gov/grants/forms.htm NIH encourages submission through Closeout in eRA Commons, but can be emailed to NIHCloseoutCenter@mail.nih.gov
Final Progress Report	Required within 120 days of expiration (unless application for competitive renewal submitted)	http://grants.nih.gov/grants/funding/finalprogressreport.pdf NIH encourages submission through Closeout in eRA Commons, but can be emailed to NIHCloseoutCenter@mail.nih.gov
Policies and Procedures	Applicable to this award – Awards to Foreign Institutions	http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-139.html
Multiple Principal Investigator Leadership Plan	Included in Application of 5/29/15	Changes cannot be implemented in the plan without written approval of NICHD
PI Signatures	Must be obtained within institution processes	http://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-054.html
Salary Caps	Cannot be paid above current salary caps	http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-049.html

Re-budgeting	Allowed?	Funds may be rebudgeted as necessary between direct and facilities and administrative costs consistent with policy and institutional requirements for prior approval. No additional funds will be provided.
Cooperative Agreement	Note special requirements	RFA: http://www.nih.gov/grants/guide/index.html This award is subject to terms set forth in SPECIAL REQUIREMENTS section of RFA-HD-15-034, 'DEVELOPMEING PARADIGM-SHIFTING INNOVATIONS FOR IN VIVO HUMAN PLACENTAL ASSESSMENT IN RESPONSE TO ENVIRONMENTAL INFLUENCES (U01) posted Feb 25, 2015
NIH STAFF CONTACTS	NOA Detail	
Payment / Hotline Information		http://grants.nih.gov/grants/policy/awardconditions.htm
RD&S Staff Contact:	Date:	Name: Email: Phone: