

## PROCEDURE FOR POLICY 2.8 – Procurement of Materials and Services

- 1.00 Where an Employee is a supplier or has a relationship with a supplier, compliance with [Policy 3.4](#) and [Policy 2.8](#) will be enforced.
- 2.00 It is recognized that on-going service and partnering with quality/preferred suppliers can be a mutually beneficial and cost-effective procurement process. However, in the rare circumstance where normal competitive procedures are waived, the reasons must be documented, kept on file, and authorized by the Director, Procurement Services or delegate through Western's electronic requisitioning tool. This process can only be used if an existing non-competitive exemption exists under a trade agreement. Moreover, as a minimum, such arrangements must be reviewed every five years, or as determined by Procurement Services, with the stakeholders.
- 3.00 Procurement Services may enter into co-operative procurement arrangements with other organizations where this is advantageous. Co-operative procurement processes will be accepted if deemed by the Director, Procurement Services to be consistent with the intent of University Policies.
- 4.00 Procurement Services will:
  - (a) consult with the users to establish all requirements and appropriate suppliers, including agreement on appropriate environmental, social, governance, and ethical requirements;
  - (b) be notified and approve any lab expositions, supplier and any other related shows/displays involving suppliers on University property;
  - (c) keep abreast of developments in the major commodity fields and provide pertinent information to users;
  - (d) facilitate supplier performance evaluations;
  - (e) identify sources of needed goods and services, select suppliers, obtain quotations and negotiate terms of purchase and payment. Users will be involved in the procurement process;
  - (f) facilitate the procurement of goods and services and provide for customs clearance. The expediting of delivery of goods and services will be managed with the user department on a case by case basis;
  - (g) place orders and arrange details of delivery;
  - (h) handle all adjustments of price and terms for items purchased on Purchase Orders;
  - (i) interpret and apply all applicable government regulations including customs and appropriate taxes;
  - (j) incorporate environmental, social, governance, and ethical criteria into the supplier selection process where applicable;and

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- (k) Where feasible, ensure suppliers sign and commit to compliance with the Supplier Code of Conduct.

5.00 The competitive bid process may take the form of telephone quotations, written Request For Quote, written Request For Proposal, Tender or by electronic open bid system. The most appropriate method will be decided by Procurement Services in conjunction with the user and/or as required by law. Guidelines for competitive pricing, considering Procurement Value, are:

- Up to \$25,000 - at the discretion of Procurement Services
- \$25,001 to \$75,000 - two verbal quotes minimum, three preferable with the awarded quote documented in writing
- \$75,001 to \$121,200 - minimum of three formal quotes
- Over \$121,200 - competitively bid through an electronic open bid system or, in the rare case, non-competitively bid with appropriate documentation.

Purchases made from the Facilities Management Vendor of Record program require the following minimum competitive bidding requirements:

Threshold	Minimum Invitation to Bid Requirements
Up to \$25,000	One quote
\$25,001 to \$100,000	Three invitations to bid
\$100,001 to \$250,000	Four invitations to bid
Greater than \$250,000	Five invitations to bid

Any purchases funded through a Granting Agency must meet the Agency’s requirements, or those above, whichever are more stringent.

6.00 Where feasible, Western encourages the use of internal university departments as suppliers for departmental requests for goods and services. Examples include the Book Store, Print Services, and Hospitality Services.

7.00 Except as noted in 8.00 below, budget units will prepare and forward Purchase Requisitions to Procurement Services for completion of the procurement function.

8.00 In accordance with section 5.00 of [Policy 2.8](#), Procurement Services hereby delegates operational responsibility and accountability for procurement to the:

- (a) Director, Western Retail Services or Associate Vice-President (Housing & Ancillary Services) for books and materials purchased for resale by the Book Store and for equipment service agreements and external print contracts for Print Services \$250,000
- (b) University Librarian for library collection acquisitions \$250,000
- (c) Director, Hospitality Services or Associate Vice-President (Housing & Ancillary Services) for food and beverages purchased for Housing and Hospitality Services \$250,000
- (d) Managers of licensed University liquor outlets or \$25,000

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Associate Vice-President (Housing & Ancillary Services)  
for purchase of alcoholic beverages in compliance  
with the University liquor license

This delegation of operational responsibility will be exercised in accordance with letters of understanding between Procurement Services and each of the above operating units. In addition, authority for purchases less than \$25,000, as provided for by Procurement Cards, Standing Orders, are delegated to local budget unit authorized personnel. The Director, Procurement Services has the authority to increase individual one-time Procurement Card transaction limits in accordance to the expenditure authorities as outlined in Section 10.00 of the Procurement of Materials and Services Policy.

- 9.00 All technology solutions or software acquisitions, including those that are provided free of charge or as a trial, must be documented and assessed through Western’s Technology Risk Assessment Committee (TRAC).
- 10.00 Except as specified in 8.00, contracts and agreements, regardless of amount, must be reviewed and approved by, at minimum, a Procurement & Contract Specialist prior to approval by other signing authorities.
- 11.00 Any procurement of goods and Non-Consulting Services must be approved by the appropriate authority, prior to purchase or commencement, in accordance with Section 10.00 of Policy 2.8.
- 12.00 Any non-competitive procurement of goods and Non-Consulting Services must be approved by an authority one level higher than the requirements for competitive procurement outlined in Section 10.00 of Policy 2.8 prior to purchase or commencement.
- 13.00 Any non-competitive procurement must be completed and approved by an appropriate authority, using Western’s electronic requisitioning tool, prior to the non-competitive procurement of goods or Non-Consulting Services.
- 14.00 Procurement of Consulting Services must be approved, prior to commencement, in accordance with the Procurement Approval Authority Schedule for Consulting Services (see chart below).

<b>Procurement Approval Authority Schedule for Consulting Services</b>		
<i>Procurement Method</i>	<i>Procurement Value</i>	<i>Approval Authority</i>
Invitational Competitive	\$0 up to but not including \$121,200	As per Policy 2.8, Section 10
Open Competitive	\$0-\$1,000,000	As per Policy 2.8, Section 10
	\$1,000,000 or more	As per Policy 2.8, Section 10
Non-Competitive*	\$0 up to but not including \$1,000,000	President
	\$1,000,000 or more	Board of Governors

\*Exception based only

- 15.00 Departments must not reduce the overall value of procurement (e.g. dividing a single procurement into multiple procurements) in order to circumvent the approval requirements specified in Section 10.00 of Policy 2.8, or the Procurement Approval Authority Schedule for Consulting Services.

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### RELATED DOCUMENTS AND OTHER RESOURCES

Accessibility for Ontarians with Disabilities Act (AODA)

<https://www.ontario.ca/laws/statute/05a11>

Broader Public Sector (BPS) Accountability Act

<https://www.ontario.ca/page/broader-public-sector-accountability>

Broader Public Sector (BPS) Procurement Directive

<https://files.ontario.ca/tbs-bps-procurement-directive-en-2023-08-23.pdf>

Canada-European Union Comprehensive Economic and Trade Agreement

<http://www.international.gc.ca/gac-amc/assets/pdfs/publications/European-Union-Government-Procurement-Guide-ENG.pdf>

Canadian Free Trade Agreement

<https://www.cfta-alec.ca/>

Technology Risk Assessment

<https://trac.uwo.ca>

Supplier Code of Conduct

[https://www.uwo.ca/finance/forms/docs/procurement/Western\\_University\\_Supplier\\_Code\\_of\\_Conduct.pdf](https://www.uwo.ca/finance/forms/docs/procurement/Western_University_Supplier_Code_of_Conduct.pdf)